

Tax Preparer Attestation Form

BANK STATEMENT DOC TYPE

Instructions

The bank statement doc type requires completion of this form by the borrower's tax preparer.

The form must be completed by an individual holding a valid license as a:

- CPA (Certified Public Accountant); or
- EA (Enrolled Agent); or
- CTEC registered tax preparer (California Tax Education Council); or
- Preparer Tax Identification Number (PTIN) **only if loan purpose is Purchase & LTV ≤ 75%**

Information related to the Tax Preparer:

- Provide a response to all sections.

Information related to the Borrower:

- Provide a response to all sections.

Expense Factor Statement (if necessary):

- **Unless completion is requested, please leave this section blank.**

The signature section must include tax preparer's wet Signature, License Number, Date, Printed Name, Phone Number and Phone Type. Esignatures are not acceptable. The license number must be verifiable online, or a copy of the license will be required.

Tax Preparer Attestation Form

Borrower Name: _____

Information related to the Tax Preparer:

- What type of license do you hold? *NOTE: Only these license types are acceptable. If you do not hold one of these license types, please do not complete the form.*
 - ☐ CPA (Certified Public Accountant)
 - ☐ EA (Enrolled Agent)
 - ☐ CTEC registered tax preparer (California Tax Education Council)
 - ☐ PTIN (Preparer Tax Identification Number) **only if loan purpose is Purchase & LTV ≤ 75%**
- How long have you held your license? _____ years _____ months

Information related to the Borrower:

- Is the borrower's business a legally formed entity, or do they operate as a sole proprietor without an entity name?
 - ☐ Legally formed entity (list name) _____
 - ☐ Sole proprietor
- What percentage of business does the borrower own? _____
- What date did the borrower's business or sole proprietorship begin? _____

Expense Factor Statement (if necessary):

- I have reviewed the borrower's and/or business's financial records and affirm their business expenses as a percentage of the gross annual sales/revenue is _____%.

By signing below, I affirm that I understand the form's instructions. I have accurately represented the borrower's business on this form. The information presented on this form was verified through review of financial documents. I certify that I am independent from the borrower, mortgage broker, bank, and title company. I have no financial, or other interest in the business described other than assisting to complete tax, or financial records. I am not receiving any compensation contingent on the closing of any loan related to the borrower.

Signature: _____ License Number: _____ Date: _____

Printed Name: _____ Phone Number: _____ Type: ☐ Cell ☐ Land Line

[signatures are NOT acceptable]